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DATE: March 11, 2003

Number of Pages (including cover sheet) 6

SEND TO: United States Patent Office
Group Art Unit: 2631_____
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FROM: Anthony England_____
Tel No: 512-477-7165_____

Subject: Supplemental Amendment

Docket No. AUS920010815US1 Serial No. 10/042,098 Applicant: Drerup et al.

- ☒ Transmittal Form
- ☒ Notice of Omitted Items in a Nonprovisional Application
- ☒ Supplemental Amendment

THIS MESSAGE IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED, AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL AND EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THE MESSAGE TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US AT THE ADDRESS ABOVE VIA THE U.S. POSTAL SERVICE. THANK YOU.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DOCKET NUMBER: AUS920010815US1
DATE: MARCH 11, 2003

In re application of: Drerup et al.
Serial No.: 10/042,098

Filed: 01/07/2002

For: Method and Apparatus for Periodic Phase Alignment

ASSISTANT COMMISSIONER OF PATENTS
Washington, D.C. 20231

Transmitted herewith is an Amendment in the above-identified Application.

X No additional fee is required.

 The fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For		Present Extra	Rate	Addit. Fee.
Total	20	MINUS	20	=		x 18 =	\$-0-
Indep.	3	MINUS	3	=		x 84 =	\$-0-
<u> </u> 1st Presentation of Multiple Dep. Claim						x 280=	\$-0-
						TOTAL	\$-0-

 Please charge my Deposit Account No. 09-0447 in the amount of \$0.00.

X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account 09-0447.

X Any additional fees required under 37 CFR \$1.16 for the presentation of extra claims.

X Any patent application processing fees under 37 CFR \$1.17.

Respectfully submitted,

By Anthony V.S. England
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UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/042,098	01/07/2002	Bernard Charles Drerup	AUS9-2001-0815-US1

CONFIRMATION NO. 7458

FORMALITIES LETTER



OC000000007430288

Robert M. Carwell
International Business Machines Corporation
Intellectual Property Law Department
11400 Burnet Road, Internal Zip 4054
Austin, TX 78758

Date Mailed: 02/06/2002

NOTICE OF OMITTED ITEM(S) IN A NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

A filing date has been accorded to the above-identified nonprovisional application papers; however, the following item(s) appear to have been omitted from the application:

- Figure(s) 10 described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit must be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date must be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

03/11/2003 17:48 512-458-8536
FEB 13 2002 14:00 FR J. AUSTIN IPLAW

ANTHONY ENGLAND
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A copy of this notice MUST be returned with the reply.

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